

## **Addendums to Award Setup (Pink) Sheets (Terms and Conditions)**

### **NASA (FDP IV Grant after 4/05)**

**General Comments:** Annual technical report should include list of inventions disclosed during the period (or negative statement).

Use of sponsor budget form for budget revisions is not required but the revised budgets should be in the same general format as the original budget.

#### **Terms:**

##### **Equipment**

- General purpose equipment may not be purchased without prior approval
- NASA permits, on an exceptional basis, the acquisition of special purpose and general purpose equipment specifically required for use exclusively for research.

##### **Invention**

- 37 CFR 401.14 “Patent Rights (Small Business Firms and Nonprofit Organizations)”
- NASA Grant Regulation 1260.409 “Patent-Retention by the grantee”
- Title to Yale; Nonexclusive, royalty-free license to Government

##### **Other Approval/Notification Requirement**

- Sponsor prior approval for substantive changes in scope of work
- Sponsor prior approval required for absence and/or change of PI
- Sponsor prior approval required for change in key personnel
- Sponsor prior approval required for transfer of award to another institution
- Grants Officer must be notified within 10 days if no-cost extension is internally approved
- Sponsor prior approval required for change in PI’s effort-reduction of 25% or more in time
- Carry forward of funds allowed, unless notified otherwise by Sponsor
- Pre-award costs 90 days prior to award start date with internal prior approval.
- Sponsor prior approval required to rebudget funds budgeted for participant or trainee support costs
- NASA requires uncommitted carry forward funds in FDP IV grants to be included in the continuation proposal if they are “substantial”
- Sponsor prior approval required to encumber equipment acquired with federal funds
- Pre-Award costs (more than 90 days) require prior approval from Sponsor

- Sponsor prior approval required for subsequent no-cost extensions > 12 months

### **Property**

- Title to Yale; Sponsor may require transfer within 120 days of expiration

### **Publication**

- Unrestricted with copy to Sponsor
- Acknowledgement of Sponsor support required in publications and deliverables
- Disclaimer required in publications, indicating the views expressed are not endorsed by the Sponsor
- Disclose sources of support on statements, press releases, requests for proposals, bid solicitations and other documents describing projects, showing agency, grant amount and proportion of total cost.

### **Reporting:**

#### **Technical/Management**

- Intellectual Property Report (Form DD882) due 90 days after the final expiration
- Annual Progress/Status Report due 60 days prior to annual anniversary
- Final Report due 90 days after the final expiration date